## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/667,740

Confirmation No.: 1890

**Applicants** 

Bailey et al.

Filed

September 22, 2003

TC/A.U.

2179

Examiner

TRAN, TUYETLIEN T.

Docket No.

BOC9-2003-0028 (397)

### DECLARATION UNDER 37 C.F.R. § 1.131

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

- I, Jo Lynn Golden, a citizen of the United States, residing in Colleyville, Texas, hereby declare and state as follows:
- 1. I was employed by International Business Machines Corporation (IBM) of Armonk, New York at the time the above-identified application was conceived. I make this declaration in support the above-identified application.
- 2. IBM has invested substantial time and effort into the research, development, and marketing of their products, and in an effort to protect its rights in all new inventions, IBM requests that all employees prepare and submit confidential Invention Disclosure Forms upon conception by the inventor(s).
- 3. As a named co-inventor for this invention, I and my co-inventor prepared and submitted the attached Invention Disclosure No. BOC8-2002-0123 (hereinafter "Invention Disclosure") pursuant to IBM guidelines.
- 4. The Invention Disclosure was originally submitted for consideration to an IBM Attorney/Patent Professional for preparation of a patent application on December 3, 2002, and was insubstantially modified on December 9, 2002. The content of the Invention Disclosure has not been subsequently modified. The Invention Disclosure represents a fully conceived and workable invention as written. I reviewed the claims of the above-mentioned patent application prior to submission of the application to assure the claimed invention was fully supported by the

р.3

Invention Disclosure in light of the Invention Disclosure and art known at the time of the disclosure.

- I diligently worked with outside counsel to prepare and file the above-mentioned 5. patent application.
- I make this Declaration to establish that my co-inventor and I conceived of the б. present invention at least as early as December 3, 2002, and exercised due diligence from that date to the date of filing for the above-identified patent application.
- I further declare that all statements made herein of my own knowledge are true 7. and all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the above-identified patent application or any patent issuing thereon.

Jo Lynn Golden

Date: 9/1/07

/111m (00001 1)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/667,740

Confirmation No.: 1890

**Applicants** 

Bailey et al.

Filed

September 22, 2003

TC/A.U.

2179

Examiner

TRAN, TUYETLIEN T.

Docket No.

BOC9-2003-0028 (397)

# DECLARATION UNDER 37 C.F.R. § 1.131

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

- I, Bret Bailey, a citizen of the United States, residing in Flower Mound, Texas, hereby declare and state as follows:
- I was employed by International Business Machines Corporation (IBM) of Armonk, New York at the time the above-identified application was conceived. I make this declaration in support the above-identified application.
- IBM has invested substantial time and effort into the research, development, and 2. marketing of their products, and in an effort to protect its rights in all new inventions, IBM requests that all employees prepare and submit confidential Invention Disclosure Forms upon conception by the inventor(s).
- As a named co-inventor for this invention, I and my co-inventor prepared and submitted the attached Invention Disclosure No. BOC8-2002-0123 (hereinafter "Invention Disclosure") pursuant to IBM guidelines.
- The Invention Disclosure was originally submitted for consideration to an IBM Attorney/Patent Professional for preparation of a patent application on December 3, 2002, and was insubstantially modified on December 9, 2002. The content of the Invention Disclosure has not been subsequently modified. The Invention Disclosure represents a fully conceived and workable invention as written. I reviewed the claims of the above-mentioned patent application prior to submission of the application to assure the claimed invention was fully supported by the

Invention Disclosure in light of the Invention Disclosure and art known at the time of the disclosure.

- 5. I diligently worked with outside counsel to prepare and file the above-mentioned patent application.
- 6. I make this Declaration to establish that my co-inventor and I conceived of the present invention at least as early as December 3, 2002, and exercised due diligence from that date to the date of filing for the above-identified patent application.
- I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the above-identified patent application or any patent issuing thereon.

# EXHIBIT "A"



### Disclosure BOC8-2002-0123

Prepared for and/or by an IBM Attorney - IBM Confidential

Created By Jo Lynn Golden On 12/03/2002 02:26:15 PM MST Last Modified By Jo Lynn Golden On 12/09/2002 02:22:15 PM EST

Required fields are marked with the asterisk (\*) and must be filled in to complete the form .

### \*Title of disclosure (in English)

GUI Interface to configure TCP/IP on a Z series operating system

### **Summary**

Status		Under Evaluation
Final Deadline	_	
Final Deadline		
Reason		
*Processing		Boca Raton
Location		
*Functional Area	select	(14) Olohak Sales Operation was estimated support (4) junio) Ologo (1)
Attorney/Patent P	rofessi	onal <del>France communication and the communica</del>
IDT Team	select	Kentreysombelles 12 No.
Submitted Date		12/05/2002 10:06:13 AM MST
*Owning Division	select .	
Incentive		
Program		
Lab		
Second Colors	áll	
€ ecc		

### Inventors with a Blue Pages entry

Inventors: Jo Lynn Golden/Dallas/IBM, Bret Bailey/Dallas/IBM

Inventor Inventor

5.12-23-04

Bailey, Bret A.

> denotes primary contact

### Inventors without a Blue Pages entry

### **IDT Selection**

Attorney/Patent Professional IDT Team



Response Due to IP&L 01/09/2003

### \*Main Idea

1. Background: What is the problem solved by your invention? Describe known solutions to this problem (if any). What are the drawbacks of such known solutions, or why is an additional solution required? Cite any relevant technical documents or references.

Problem: TCP/IP configurations on Z series are currently stored in a flat file. Several of these configuration settings are mutually exclusive allowing the creation of configuration errors. There currently is no GUI interface to this process; you must manually edit the files. A GUI front end to manipulate the configurations could perform syntax and error checking prior to saving the data.

2. Summary of Invention: Briefly describe the core idea of your invention (saving the details for questions #3 below). Describe the advantage(s) of using your invention instead of the known solutions described above.

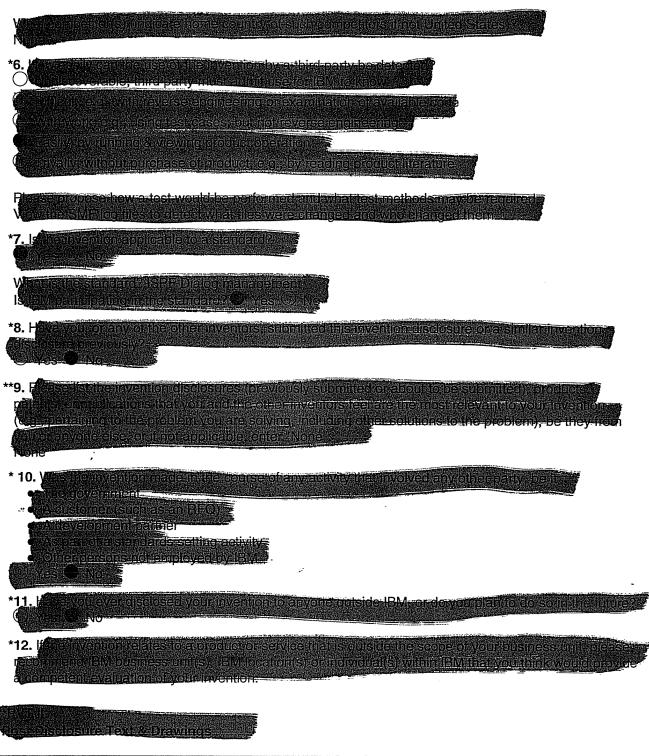
A GUI front end could aid the system programmer in making changes to TCP/IP settings with the use of online help and specific paths for mutually exclusive settings in the configuration files. The GUI interface could implement error and syntax checking as well as, multiple configuration file synchronization.

3. Description: Describe how your invention works, and how it could be implemented, using text, diagrams and flow charts as appropriate.

The GUI front end could be added to the ISPF menu making TCP/IP administration consistent with other system programmer tasks on a Z series operating system. This front end could be written so it would only be visible to system programmers to eliminate any security exposure. The GUI front end could be menu driven to lead the system programmer through the process of making changes to several required files. The addition of built in error and syntax checking could save time, effort, and potential IP network problems. This would also reduce the amount of time spent troubleshooting IP network problems.

### \*Patent Value Tool





Form Revised 09/01/02)

# IBM CONFIDENTIAL

SEARCHER:

MARK D. WISLER

SEARCH TYPE:

PATENTABILITY

SEARCH REQUEST NO.: BOC802-0123

RECEIVED JAN 0 8 2003

LOCATION:

SEARCH REQUEST FOR **BOCA RATON** 

DATE:

**JANUARY 8, 2003** 

"EXHIBIT "B"

GUI INTERFACE TO CONFIGURE TCP/IP ON A Z SERIES OPERATING SYSTEM

### FEATURES TO BE SEARCHED:

A GUI interface is used to edit a flat file of TCP/IP configuration information on a Z-series operating system. In the process of editing, the GUI could perform syntax and error checking prior to saving data, as well as multiple file synchronization. The GUI could also provide online help when making changes to TCP/IP settings and ensure specific paths are set to be mutually exclusive in the configuration files.

### DEFINED FIELD OF SEARCH:

345/705, 708, 710, 805 709/221, 223, 250 IPC G06F 09/44

DATABASE:

WPAT, JAPIO, INSPEC, TDBS, DOSS

RELATED ART:

WO 02/03201 - See pages 1, 2, 3, 6, 7, 8, 9, 10, and 11.

US 2001/0056476 - Appears to be assigned to IBM. See paragraphs 98-104.

### NON-PATENT LITERATURE:

Hirosawa, T. et al., "Development of a System Operation Vicarious Execution Function of Mainframe Computer Systems by the Personal Computer Control", Transactions of the Information Processing Society of Japan, Vol. 41, No. 9, pages 2614-2624, September 2000. See Abstract.

12: 19 e- NAL ED"

DOSS (ALL LISTINGS): NONE AT THIS TIME

PLAW CEPT NOTAR ADON

**PAGE 1 OF 2 OF BOC802-0123** 

# IBM CONFIDENTIAL

FEATURES THAT WERE NOT FOUND:

While references appearing to relate to feature 1) appear to have been located in the defined field of search, an the feature as recited above was not located at this time in the defined field of search.

OTHER COMMENTS:

The search can be extended.

SIGNATURE: Mark J. Wislow

EXHIBIT "c"



Jo Lynn Golden 03/20/2003 10:45 AM

This document expires on 06/18/2003



From: Jo Lynn Golden/Dallas/IBM@IBMUS Subject: BOC8-2002-0123 disclosure review

file M.J.

With regards to WO 02/03201 A2, this art is related to remote management of hardware through a virtual console and could make use of our disclosure but is not a replacement for or alternate method of achieving the goals of our disclosure.

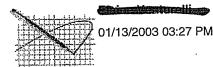
With regards to US 2001/0056476 A1, while this art deals with IP networking it is only using the IP network not managing the configuration settings as is proposed in our disclosure.

With regards to ABN # C2001-02-5620-002, while employing a GUI interface, this art deals with managing distributed hardware and I/O devices. It could work in conjunction with our proposed disclosure but is not a replacement or alternative.

Thank you, Jo Lynn Golden Bret Bailey

Jo Lynn Golden Global e-business Solutions Center 1 Campus Circle 02-01-30 Roanoke, Tx. 76262 (817) 962-6704 t/l 522-6704 goldenj@us.ibm.com

---- Forwarded by Jo Lynn Golden/Dallas/IBM on 03/20/2003 09:31 AM -----



To: Jo Lynn Golden/Dallas/IBM@IBMUS, Bret Bailey/Dallas/IBM@IBMUS

From: Claime Venturelli/Saca Ratio // Provident

The above referenced invention disclosure has been identified as a possible candidate for the Autonomic Computing Special Patent Incentive. In order to qualify, the application must be filed by July 31, 2003. The patentability search results were sent to you on January 9, 2003. May I ask your assistance in processing the review as quickly as possible. If this disclosure is to be filed, we would like to have plenty of time to meet the deadline.

Subject: BOC8-2002-0123

Thank you in advance.

Intellectual Property Law Department (561-862-2733 or T/L 975-2733) 8051 Congress Avenue, Internal Zip 4042 Boca Raton, FL 33487 e-mail address: eventure@us.ibm.com

ISPF (Interactive System Productivity Facility) is the <u>user interface</u> and supporting programs that come with IBM's <u>OS/390</u> operating system and that allow a company to configure and manage its system, add new system or application programs and test them, and access system files (data sets). ISPF consists of the Dialog Manager (DM), the Program Development Facility (PDF), and the Software Configuration and Library Management (SCLM) facility.

IBM also offers an ISPF that will run on an OS/2 system so that developers can work "offline" from the OS/390.

OS/390 is the IBM <u>operating system</u> most commonly installed on its S/390 line of <u>mainframe server</u>. It is an evolved and newly renamed version of <u>MVS</u> (Multiple Virtual Storage), IBM's long-time, <u>robust</u> mainframe operating system. By whatever name, MVS has been said to be the operating system that keeps the world going. The payroll, accounts receivable, transaction processing, database management, and other programs critical to the world's largest businesses are usually run on an MVS system. Although MVS tends to be associated with a monolithic, centrally-controlled information system, IBM has in recent years repositioned it as a "large server" in a network-oriented distributed environment that would tend to use a 3-tier application model.

Since MVS represents a certain epoch and culture in the history of computing and since many older MVS systems still operate, the term "MVS" will probably continue to be used for some time. Since OS/390 also comes with <u>Unix</u> user and programming interfaces built in, it can be used as both an MVS system and a UNIX system at the same time. OS/390 (and earlier MVS) systems run older applications developed using <u>Common Business Oriented Language</u> and, for transaction programs, <u>Customer Information Control System</u>. Older application programs written in <u>PL/I</u> and Formula Translation are still running. Older applications use the <u>Virtual Storage Access Method</u> access method for file management and <u>Virtual Telecommunications Access Method</u> for telecommunication with users. The most common program environment today uses the <u>C</u> and <u>C++</u> languages. *DB2* is IBM's primary . <u>Java</u> applications can be developed and run under OS/390's UNIX environment.

For additional information about major components of OS/390, see <u>MVS</u>. Other IBM operating systems for their larger computers include or have included: the Transaction Processing Facility (TPF), used in some major airline reservation systems, and <u>virtual machine</u>, an operating system designed to serve many interactive users at the same time.

z/OS is the computer <u>operating system</u> for IBM's zSeries 900 (z900) line of large (<u>mainframe</u>) <u>server</u>s. z/OS is a renamed and upgraded version of <u>OS/390</u>, which in turn evolved from the <u>MVS</u> operating system. IBM's renamed servers and operating systems reflect a strategy to realign its products more closely with the Internet and its own <u>e-</u>business initiatives.

z/OS is described as an extremely <u>scalable</u> and secure high-performance operating system based on the <u>64-bit</u> z/Architecture. Like its predecessor, OS/390, z/OS lays claim to being highly reliable for running mission-critical applications. The operating system supports Web- and <u>Java</u>-based applications.

EXHIBIT "D"



8051 Congress Avenue Boca Raton, FL 33487

March 26, 2003

Akerman, Senterfitt 222 Lakeview Avenue 4th Floor West Palm Beach, FL 33401

6169-397

REF: Invention Disclosure: BOC8-2002-0123

Title: GUI Interface to configure TCP/IP on a Z series operating system

IBM Docket: BOC9-2003-0028

Dear Kevin,

Please prepare and file the above referenced case with the U.S. Patent and Trademark Office. A copy of the invention disclosure, patentability search results and inventor's comments are enclosed for your use in preparation of the application in accordance with IBM's format.

Please note this docket may qualify for IBM's Autonomic Computing Special Patent Incentive award program. All applications that qualify for this award must be filed by September 30, 2003. Please let me know if you have any concerns with meeting this date.

Sincerely,



**Enclosures** 

RECEIVED DOCKETING MAR 2 7 2003

# EXHIBIT "E"

Boca Raton Fort Lauderdale Jacksonville Miami Orlando Tallahassee Tampa West Palm Beach

Direct Dial: 561-671-3658 E-Mail: kcuenot@akerman.com



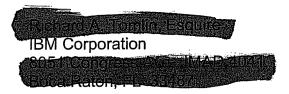
222 Lakeview Avenue
4th Floor
West Palm Beach, Florida 33401-6147
Post Office Box 3188 mail

West Palm Beach, Florida 33402-3188

www.akerman.com

561 653 5000 tel 561 659 6313 fax

March 27, 2003



RE: New U.S. Patent Application

GUI INTERFACE TO CONFIGURE TCP/IP ON A Z SERIES OPERATING SYSTEM

IBM Docket No. BOC9-2003-0028; Our Reference No.: 6169-397

# Dear Dear:

Thank you for your letter dated March 26, 2003. In accordance with IBM standard protocol, a patent application will be prepared and filed in the above-referenced matter on or before September 22, 2003. Notwithstanding, we will strive to prepare the patent application in an expedient manner.

Additionally, we have noted this application may qualify for IBM's Autonomic Computing Special Patent Incentive award program and therefore must be filed with the U.S. Patent and Trademark Office on or before September 30, 2003 in order to qualify for the incentive program.

As always, thank you for allowing us to be of assistance to you.

Very truly yours,

AKERMAN SENTERFITT

Kevent, and

Kevin T. Cuenot

KTC/aa

cc: Gregory A. Nelson, Esquire

# Valee Bartels - Examples for BOC820020123 disclosure

From:

"Jo Lynn Golden" <goldenj@us.ibm.com>

To:

<br/>bbuchheit@akerman.com>

Date:

9/5/2003 11:37 AM

Subject:

Examples for BOC820020123 disclosure

CC:

"Bret Bailey" <baileyba@us.ibm.com>

Brian,

Here are two examples for the disclosure BOC820020123. They are just sample ISPF templates that could be built as part of the implementation. Let myself or Bret know if you need anything else.

Jo Lynn

(See attached file: tcp config example.doc)(See attached file: Example of ispf panel.doc)

Jo Lynn Golden Sr. Consulting I/T Specialist Global e-business Solutions Center 2 Campus Circle 02-01-30 Roanoke, Tx. 76262 (817) 962-6704 t/l 522-6704 goldenj@us.ibm.com TCP/IP Configuration Definition

Select one of the following options.

1. Read saved definition

- 2. Extract definition from TCP/IP profile
- 3. Create new definition
- 4. Activate definition

ENTER to continue

Valee Barte	ls - Example of ispf panel.doc	Page 1
	OS/390 TCP/IP Configuration Command ===>	
	Select one of the following.	
	<ol> <li>Define, modify, or view configuration data</li> <li>Activate or process configuration data</li> <li>Print or compare configuration data</li> <li>Create or view graphical configuration report</li> <li>Migrate configuration data</li> <li>Getting started with this dialog</li> <li>What's new</li> </ol>	
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		A STATE OF THE STA

Valee Bartels

To:

Bailey, Bret A.; Golden, Jo Lynn

Date:

9/12/03 10:38AM

Subject:

Inventor Information Request

### Dear Inventors:

In order to accurately prepare formal documents for filing with the patent application for BOC9-2003-0028 (6169-397),

please supply the following information:

Jo Lynn Golden	
Home Address:	
County of Residence:	
Citizenship:	
Bret A. Bailey	
Home Address:	
County of Residence:	
Citizenship:	

Thank you,

Valee Bartels Legal Assistant to Kevin T. Cuenot Akerman, Senterfitt & Eidson, P.A. 222 Lakeview Avenue, Suite 400 West Palm Beach, FL 33401 Ph: 561.653.5000, ext. 3434

Fax: 561.659.6313

E-mail: vbartels@akerman.com

CC:

Buchheit, Brian; Cuenot, Kevin T.;



Valee Bartels

To:

Bailey, Bret A.; Golden, Jo Lynn

Date:

9/12/03 10:31AM

Subject:

IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Re: Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET

COMMUNICATIONS ON A Z SERIES COMPUTER

IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

#### Dear Inventors:

Attached please find a draft of a patent application and associated drawings for your review in the above-identified matter. Please review the application carefully to ensure that the description of the invention accurately recites all of the invention's characteristics in the broadest possible manner, while also explaining, in detail, the preferred embodiment of the invention. The drawings should also be reviewed to confirm that they accurately depict the various details of the invention as you and your co-inventors understand them.

Importantly, please ensure that each inventor named on the cover sheet of the patent application has contributed to the conception of your invention as described in at least one of these claims. If for some reason we have neglected to list an inventor who has contributed to the conception of subject matter described in a claim, please so advise us immediately.

Once you have reviewed it, please forward your comments and/or any suggestions you may have.

Please note: When you open the Fig. 3.pdf file you should receive the following message: "The font 'Webdings' contains bad/Widths". This message refers to the icons included in toolbar 310 and should be ignored. It is a PDF problem that will not occur when we submit the SmartDraw drawings to the U.S. Patent and Trademark Office.

Very truly yours,

#### AKERMAN SENTERFITT

Brian K. Buchheit, Esquire\* Akerman Senterfitt 222 Lakeview Avenue, Suite 400 West Palm Beach, FL 33402-3188

Voice: (561) 671-3674 Fax.: (561) 659-6313

E-mail: <a href="mailto:kcuenot@akerman.com">kcuenot@akerman.com</a>
Web: <a href="mailto:www.akerman.com">www.akerman.com</a>

\*Licensed to Practice Before the United States Patent and Trademark Office

Sent on Mr. Buchheit's behalf to avoid delay.

Valee Bartels Legal Assistant to Kevin T. Cuenot Akerman, Senterfitt & Eidson, P.A. 222 Lakeview Avenue, Suite 400 West Palm Beach, FL 33401 Ph: 561.653.5000, ext. 3434

Fax: 561.659.6313

E-mail: vbartels@akerman.com

Valee Bartels

To:

Bailey, Bret A.; Golden, Jo Lynn

Date:

9/12/03 10:33AM

Subject:

IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Re: Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET

COMMUNICATIONS ON A Z SERIES COMPUTER

IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Dear Inventors:

Please be advised the password is "mayday".

Very truly yours,

### AKERMAN SENTERFITT

Brian K. Buchheit, Esquire\* Akerman Senterfitt 222 Lakeview Avenue, Suite 400 West Palm Beach, FL 33402-3188

Voice: (561) 671-3674 Fax.: (561) 659-6313

E-mail: kcuenot@akerman.com Web: www.akerman.com

\*Licensed to Practice Before the United States Patent and Trademark Office

Sent on Mr. Buchheit's behalf to avoid delay.

Valee Bartels Legal Assistant to Kevin T. Cuenot Akerman, Senterfitt & Eidson, P.A. 222 Lakeview Avenue, Suite 400 West Palm Beach, FL 33401 Ph: 561.653.5000, ext. 3434

Fax: 561.659.6313

E-mail: vbartels@akerman.com

CC:

Buchheit, Brian; Cuenot, Kevin T.;



# Valee Bartels - Re: Inventor Information Request

From:

"Bret Bailey" <baileyba@us.ibm.com>

To:

"Valee Bartels" < VBartels@Akerman.com>

Date:

9/12/2003 10:52 AM

Subject:

Re: Inventor Information Request

CC:

"Jo Lynn Golden" <goldenj@us.ibm.com>

My address is:

Bret Bailey 6017 Rock Cove

Flower Mound, Texas 75

75028

Country of Residence: USA Den ton

Citizenship: USA

**Bret Bailey** 





GeSC Solution Prototypes (info & collateral): Personal Financial Services Prototype (PFSP):

Chitten / We adminesmi/supportuses/iswap.html

Bank Branch Transformation: http://w3.ibm.com/support/stss/fsbt.html

"Valee Bartels"

< VBartels@Akerman

.com>

To

Bret Bailey/Dallas/IBM@IBMUS, Jo

09/12/2003 09:38

Lynn Golden/Dallas/IBM@IBMUS

AM

CC

"Brian Buchheit"

<BBuchheit@Akerman.com>, "Kevin T.

Cuenot" <KCuenot@Akerman.com>,



Subject

**Inventor Information Request** 

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In order to accurately prepare formal documents for filing with the patent application for BOC9-2003-0028 (6169-397), please supply the following information:

Jo Lynn Golden	
Home Address:	
County of Residence:	
Citizenship:	
Bret A. Bailey	
Home Address:	
County of Residence:	
Citizenship:	and the second s

Thank you,

Valee Bartels Legal Assistant to Kevin T. Cuenot Akerman, Senterfitt & Eidson, P.A. 222 Lakeview Avenue, Suite 400 West Palm Beach, FL 33401 Ph: 561.653.5000, ext. 3434

Fax: 561.659.6313

E-mail: vbartels@akerman.com

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# Valee Bartels - Inventor Information Request

From:

"Jo Lynn Golden" <goldenj@us.ibm.com>

To:

"valee bartels" <valee\_bartels@akerman.com>

Date:

9/12/2003 12:08 PM

Subject: Inventor Information Request

Valee, Here is the information you requested. Jo Lynn Golden 3700 Sweetbriar Lane Colleyville, Tx. 76034-8681 County of residence: Tarrant Citizenship: United States



---- Forwarded by Jo Lynn Golden/Dallas/IBM on 09/12/2003 10:54 AM -----

"Valee Bartels"

<VBartels@Akerman

To:

Bret Bailey/Dallas/IBM@IBMUS, Jo Lynn

Golden/Dallas/IBM@IBMUS

.com>

AM

cc:

"Brian Buchheit" <BBuchheit@Akerman.com>, "Kevin T.

Cuenot"

<KCuenot@Akerman.com>,



Raton/IBM@IBMUS

09/12/2003 09:38

Subject: Inventor Information Request

Dear Inventors:

In order to accurately prepare formal documents for filing with the patent application for BOC9-2003-0028 (6169-397), please supply the following information:

Jo Lynn Golden

Home Address:
County of Residence:
Citizenship:
Bret A. Bailey Home Address: County of Residence: Citizenship:
Thank you,
Valee Bartels Legal Assistant to Kevin T. Cuenot Akerman, Senterfitt & Eidson, P.A.

Akerman, Senterfitt & Eidson, P.A. 222 Lakeview Avenue, Suite 400 West Palm Beach, FL 33401 Ph: 561.653.5000, ext. 3434

Fax: 561.659.6313

E-mail: vbartels@akerman.com

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# Valee Bartels - IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

From:

"Jo Lynn Golden" <goldenj@us.ibm.com>

To:

<vbartels@akerman.com>

Date:

9/16/2003 12:09 PM

Subject:

IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

CC:

"Bret Bailey" <baileyba@us.ibm.com>, <bbuchheit@akerman.com>, <kcuenot@akerman.com>,

STATOLING OF THE STATE OF THE S

We have carefully reviewed the draft patent application. The figures are accurate depictions of the invention. On page 6 of the application, in the paragraph labeled [0020], the fourth sentence " The GUI 200 can be written for any operating system native to the zSeries computer including, but not limited to z/OS, OS/390, MVS, Linux, and UNIX." -- should read "The GUI 200 can be written for any operating system native to the zSeries computer including, but not limited to z/OS, OS/390, MVS, and Linux." . In that same paragraph, at the end, please add "If GUI 200 were written as a client that connects to the zSeries 105, it could run on any operating system.". Thank you Jo Lynn Golden Bret Bailey

Jo Lynn Golden



---- Forwarded by Jo Lynn Golden/Dallas/IBM on 09/16/2003 10:55 AM -----

"Valee Bartels"

< VBartels@Akerman

To:

Bret Bailey/Dallas/IBM@IBMUS, Jo Lynn

Golden/Dallas/IBM@IBMUS

.com>

cc:

"Brian Buchheit" <BBuchheit@Akerman.com>, "Kevin T.

Cuenot"

<KCuenot@Akerman.com>,



Raton/IBM@IBMUS

09/12/2003 09:31

Subject: IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-

397

AM

Re: Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET COMMUNICATIONS ON A Z SERIES COMPUTER IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

### Dear Inventors:

Attached please find a draft of a patent application and associated drawings for your review in the above-identified matter. Please review the application carefully to ensure that the description of the invention accurately recites all of the invention's characteristics in the broadest possible manner, while also explaining, in detail, the preferred embodiment of the invention. The drawings should also be reviewed to confirm that they accurately depict the various details of the invention as you and your co-inventors understand them.

Importantly, please ensure that each inventor named on the cover sheet of the patent application has contributed to the conception of your invention as described in at least one of these claims. If for some reason we have neglected to list an inventor who has contributed to the conception of subject matter described in a claim, please so advise us immediately.

Once you have reviewed it, please forward your comments and/or any suggestions you may have.

Please note: When you open the Fig. 3.pdf file you should receive the following message: "The font 'Webdings' contains bad/Widths". This message refers to the icons included in toolbar 310 and should be ignored. It is a PDF problem that will not occur when we submit the SmartDraw drawings to the U.S. Patent and Trademark Office.

Very truly yours,

### AKERMAN SENTERFITT

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\*Licensed to Practice Before the United States Patent and Trademark Office

Sent on Mr. Buchheit's behalf to avoid delay.

Valee Bartels Legal Assistant to Kevin T. Cuenot Akerman, Senterfitt & Eidson, P.A. 222 Lakeview Avenue, Suite 400 West Palm Beach, FL 33401 Ph: 561.653.5000, ext. 3434

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\*\*\*\* Attachment 6169-397 Patent Appln.zip has been removed from this note on September 16, 2003 by Jo Lynn Golden \*\*\*\*

Valee Bartels

To:

Bailey, Bret A.; Golden, Jo Lynn

Date:

9/16/03 4:30PM

Subject:

IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Re: Final Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET

COMMUNICATIONS ON A Z SERIES COMPUTER

IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

### Dear Inventors:

Enclosed please find for execution a final draft of the above-identified patent application, the Declaration and Power of Attorney, the Assignment, the Oath and Assignment for the Republic of China, a REDLINED version and of the patent which evidences the changes you have requested and which have been incorporated into the final version as well as the drawings.

After your review of the REDLINED patent application and drawings, if the patent application accurately recites all of the invention's characteristics, by copy of this e-mail to Melanie Esquivel, please print out the formal documents and sign and date the enclosed documents where indicated.

Once the documents are fully executed, Ms. Esquivel can forward the documents to our office for filing with the patent application.

Finally, if you feel we have not fully addressed your concerns, please do not hesitate to contact us prior to executing the documents.

Very truly yours,

### **AKERMAN SENTERFITT**

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Sent on Mr. Buchheit's behalf to avoid delay.

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Buchheit, Brian; Cuenot, Kevin T.;

Valee Bartels

To:

Bailey, Bret A.; Golden, Jo Lynn

Date:

9/16/03 4:31PM

Subject:

IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Re: Final Draft Patent Application for INTERFACE FOR CONFIGURING INTERNET

COMMUNICATIONS ON A Z SERIES COMPUTER

IBM Docket No. BOC9-2003-0028; Our Docket No. 6169-397

Dear Inventors:

Please be advised the password remains "mayday".

Very truly yours,

### AKERMAN SENTERFITT

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Docket No.: BOC9-2003-0028 (397) Express Mail Label No.: EV 346755859 US

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

J. Rodman Steele, Jr.	Reg. No. 25,931	Nell R. Jetter Pablo Meles Terry W. Forsythe Michael K. Dixon Sarah E. Smith Amy A. Ostrom Brian K. Buchheit	Reg. No. 46,803
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